

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
as on the financial year ended March 31, 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

1.	CIN	L63000MH1988PLC048500
2.	Registration Date	16 th August 1988
3.	Name of the Company	Shreyas Shipping & Logistics Limited
4.	Category/ sub-category of the Company	Public Company Limited by Shares
	Address of the Registered Office and Contact Details	D 301-305, Level 3, Tower II, Seawoods Grand Central, Plot no. R1, Sector 40, Nerul Node, Navi Mumbai 400706. Contact No: 022 68110300
5.	Whether listed Company (Yes/No)	Yes
6.	Name, Address and Contact details of Registrar and Transfer Agent	Link Intime India Pvt Ltd C-101, 247 Park, L.B. S. Marg, Vikhroli (West), Mumbai 400 083 TEL : 022 4918 6270 Fax : 022 4918 6060 Email : rnt.helpdesk@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sr. No	Name and Description of Main Product/ Services	NIC Code of the Product	% to total turnover of the company
1	Coastal Shipping Transportation	6110	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No	Name and Address of the Company	CIN/GIN	Holding/ Subsidiary of the Company	% of shares held	Applicable Section
1	Avana Logistek Limited (formerly known as Shreyas Relay Systems Ltd.)	U61100MH1994PLC077152	Associate Company	29.22%	2(87)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As at 01.04.2019)				No. of Shares held at the end of the year (As at 31.03.2020)				% of Change During the Year
	DEMAT	Physical	Total	% of Total Share	DEMAT	Physical	Total	% of Total Share	
A. Promoters									
1. Indian									
a. Individuals/ Hindu Undivided Family	5000	0	5000	0.02	5000	0	5000	0.02	0.00
b. Central Government	0	0	0	0.00	0	0	0	0.00	0.00
c. State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
d. Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
e. Financial Institutions / Banks	0	0	0	0.00	0	0	0	0.00	0.00
f. Any Other (specify)	0	0	0	0.00	0	0	0	0.00	0.00
Sub Total (A)(1)	5000	0	5000	0.02	5000	0	5000	0.02	0.00
2. Foreign									
a. NRIs-Individuals	2780845	0	2780845	12.66	2780845	0	2780845	12.66	0.00
b. Other -Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c. Bodies Corporate	12351650	0	12351650	56.25	12351650	0	12351650	56.25	0.00
d. Banks / Financial Institutions	0	0	0	0.00	0	0	0	0.00	0.00
e. Any Other (specify)	0	0	0	0.00	0	0	0	0.00	0.00
Sub Total (A)(2)	15132495	0	15132495	68.92	15132495	0	15132495	68.92	0.00
Total Shareholding of Promoter (A)=(A)(1)+(A)(2)	15137495	0	15137495	68.94	15137495	0	15137495	68.94	0.00

Category of Shareholders	No. of Shares held at the beginning of the year (As at 01.04.2019)				No. of Shares held at the end of the year (As at 31.03.2020)				% of Change During the Year
	DEMAT	Physical	Total	% of Total Share	DEMAT	Physical	Total	% of Total Share	
B. Public shareholding									
1. Institutions									
a. Mutual Funds	0	600	600	0.00	0	600	600	0.00	0.00
b. Banks / Financial Institutions	36	0	36	0.00	252	0	252	0.00	0.00
c. Central Government	0	0	0	0.00	0	0	0	0.00	0.00
d. State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
e. Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f. Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g. FII's/FPI's	12048	0	12048	0.05	0	0	0	0.00	(0.05)
h. Foreign Venture Capital Investors	0	0	0	0.00	0	0	0	0.00	0.00
i. Alternate Investment Fund (Unifi Aif 2)	257500	0	257500	1.17	257500	0	257500	1.17	0.00
j. Any Other (UTI)	0	300	300	0.00	0	300	300	0.00	0.00
Sub Total (B) (1)	269584	900	270484	1.22	257752	900	258652	1.17	(0.05)
2. Non-Institutions									
a. Bodies Corporate									
i. Indian	0	0	0	0.00	0	0	0	0.00	0.00
ii. Overseas	0	0	0	0.00	0	0	0	0.00	0.00
b. Individuals									
i. Individual shareholders holding nominal share capital up to Rs 2 Lakh	3127145	313026	3440171	15.67	3128896	293126	3422022	15.58	(0.09)

Category of Shareholders	No. of Shares held at the beginning of the year (As at 01.04.2019)				No. of Shares held at the end of the year (As at 31.03.2020)				% of Change During the Year
	DEMAT	Physical	Total	% of Total Share	DEMAT	Physical	Total	% of Total Share	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakh	1260364	0	1260364	5.74	1363194	0	1363194	6.21	0.47
c. NBFCs registered with RBI	2000	0	2000	0.01	0	0	0	0	(0.01)
c. Others	1834819	12200	1847019	8.41	1763970	12200	1776170	8.09	(0.32)
Sub Total (B)(2)	6224328	325226	6549554	29.83	6256060	305326	6561386	29.88	0.05
Total Public Shareholding (B)=(B)(1)+(B)(2)	6493912	326126	6820038	31.06	6513812	306226	6820038	31.06	0.00
Total (A)+(B)	21631407	326126	21957533	100	21651307	306226	21957533	100	0.00
C. Shares held by custodians for GDRs and ADRs	0	0	0	0.00	0	0	0	0.00	0.00
GRAND TOTAL (A)+(B)+(C)	21631407	326126	21957533	100	21651307	306226	21957533	100	0.00

ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year (As at 01.04.2019)			Shareholding at the end of the year (As at 31.03.2020)			% change in shareholding during the year
		No of Shares	% of total shares of the company	% of shares pledged/encumbered to total shares	No of Shares	% of total shares of the company	% of shares pledged/encumbered to total shares	
1	TRANSWORLD HOLDINGS LIMITED	12351650	56.25	0	1231650	56.25	0	0.00
2	ANISHA VALLI RAMAKRISHNAN	717325	3.27	0	717325	3.27	0	0.00
3	RITESH S. RAMAKRISHNAN	168375	0.77	0	168375	0.77	0	0.00

4	L. B. CULAS	120845	0.55	0	120845	0.55	0	0.00
5	S. RAMAKRISHNAN	1557550	7.09	0	1557550	7.09	0	0.00
6	GEETA RAMAKRISHNAN	216750	0.99	0	216750	0.99	0	0.00
7	BHAGEERATHI IYER	5000	0.02	0	5000	0.02	0	0.00
9	MITHILA MAHESH	0	0	0	0.00	0	0	0.00
10	MALA MAHESH IYER	0	0	0	0.00	0	0	0.00
11	MURLI MAHESH	0	0	0	0.00	0	0	0.00
12	S. MAHESH	0	0	0	0.00	0	0	0.00

(iii) **Change in Promoters' Shareholding (please specify, if there is no change)**

Sr. No	Particulars	Shareholding at the Beginning of the year		Cumulative shareholding	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	At the beginning of the year	15137495	68.94	15137495	68.94
2	Changes during the year	-	-	-	-
3	At the end of the year	15137495	68.94	15137495	68.94

(iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sr. No	Shareholder's Name	Shareholding at the beginning of the year (As at 01.04.2019)		Shareholding at the end of the year (As at 31.03.2020)		% change in shareholding during the year
		No of Shares	% of total shares of the company	No of Shares	% of total shares of the company	
1	UNIFI AIF 2	257500	1.17	257500	1.17	0.00
2	PHILLIPCAPITAL (INDIA) PRIVATE LIMITED	-	-	218000	0.99	0.99
3	LAKSHMI KUMAR SUBRAMANIAN	200000	0.91	200000	0.91	0.00

4	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY TO CORPORATE AFFAIRS	137553	0.63	147443	0.67	0.04
5	PRATIBHUTI VINIYOG LIMITED INVESTMENT A/C	115000	0.52	146200	0.66	0.14
6	RAJAN RAMNARAYAN	140875	0.64	140875	0.64	0.00
7	RAJIV RAMNARAYAN	136375	0.62	136375	0.62	0.00
8	UNIFI FINANCIAL PVT LTD	98500	0.45	133500	0.61	0.16
9	ABHINAV KUMAR SUBRAMANIAN	125000	0.57	125000	0.57	0.00
10	SHIV KUMAR SUBRAMANIAN	125000	0.57	125000	0.57	0.00
11	KOTAK SECURITIES LIMITED	913	0.00	112370	0.52	0.52
12	V RAMNARAYAN	109375	0.50	109375	0.50	0.00
13	BRINDA RAMNARAYAN	108375	0.49	108375	0.49	0.00
14	IDEAS 1ST INFORMATION SERVICES PVT LTD	45814	0.21	100000	0.45	0.24
15	M.L. DAMANI SECURITIES PVT LTD	96500	0.44	96500	0.44	0.00
16	PRATIBHUTI VINIHIT LIMITED	85000	0.39	85000	0.39	0.00

Note:

The above information is based on the weekly beneficiary position received from the Company's Registrar and Transfer Agents.

(v) Shareholding of Directors and Key Managerial Personnel:

Sr. No	Shareholder's Name	Shareholding at the beginning of the year (As at 01.04.2019)		Shareholding at the end of the year (As at 31.03.2020)		% change in shareholding during the year
		No of Shares	% of total shares of the company	No of Shares	% of total shares of the company	
1	S. RAMAKRISHNAN	1557550	7.09	1557550	7.09	0.00
2	RITESH RAMAKRISHNAN	168375	0.77	168375	0.77	0.00
3	S. RAGOTHAMAN	3000	0.00	3000	0.00	0.00
4	L.B. CULAS *	120845	0.55	120845	0.55	0.00
5	CAPT. VIVEK KUMAR SINGH	1000	0.00	1000	0.00	0.00
6	RAJESH DESAI	300	0.00	300	0.00	0.00
7	ASHA PRAKASH *	0	0.00	0	0.00	0.00
8	NUPUR BURMAN *	0	0.00	0	0.00	0.00
9	HARSHIT GARG *	0	0.00	0	0.00	0.00

* Mr. L.B. Culas (Non-executive and Non-independent director) (DIN: 00434984) tendered resignation from the directorship of the company effective 04th April 2020 on account of attaining the age of 75 years.

* During the year, Ms. Asha Prakash ceased to be the Company Secretary of the Company on account of Maternity leave and Ms. Nupur Burman was appointed as the Interim Company Secretary of the Company effective 22nd January 2020. Further, Ms. Nupur Burman tendered her resignation and Mr. Harshit Garg was appointed as the Interim Company Secretary of the Company effective 02nd March 2020 till the time Ms. Asha Prakash resumes office.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(₹ in Lac)

Particulars	Secured Loans Excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness in the beginning of the year				
1) Principal Amount	30,272	NA	NA	30,272
2) Interest due but not paid	Nil			Nil
3) Interest accrued but not due	148			148
Indebtedness in the end of the year				
1) Principal Amount	26,604	NA	NA	26,604
2) Interest due but not paid	Nil			Nil
3) Interest accrued but not due	158			158

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

A. REMUNERATION TO MANAGING DIRECTOR, WHOLE TIME DIRECTORS and / or MANAGER				
(₹ in Lac)				
Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Name of MD/WTD/Manager	Total Amount
		S. Ramakrishnan	Capt. Vivek Kumar Singh	
1	Gross Salary			
	(a) Salary as per provisions contained in	249.24	100.25	349.49

	Section 17(1) of the Income Tax Act, 1961			
	(b) Value of Perquisites u/s 17(2) Income Tax Act, 1961	0.22	0.22	0.44
	(c) Profits in lieu of salary under section 17(3) of the Income Tax, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	as a % of profit	-	-	-
	Others specify	-	-	-
5	Others Please Specify			
	Contribution to PF	16.63	5.94	22.57
	Gratuity	-	-	-

B. Remuneration to other directors

I. Independent Director

(₹ in lac)

Particulars of Remuneration	Name of Directors						TOTAL AMOUNT
	S. RAGOTHAMA N	MANNIL VENUGOPALAN	D. T. JOSEPH	MAYA SINHA	DEEPAK SHETTY	CAPT. MANMOHAN SAGGI	
INDEPENDENT DIRECTORS							
SITTING FEES	10.30	4.60	7.70	10.10	9.80	9.80	52.3
COMMISSION	NIL	NIL	NIL	NIL	NIL	NIL	NIL
OTHERS	NIL	NIL	NIL	NIL	NIL	NIL	NIL

II. Other Non-Executive Directors

(₹ in lac)

Particulars of Remuneration	Name of the Directors				TOTAL AMOUNT
	RITESH S. RAMAKRISHNAN	L. B. CULAS	SATISH PILLANIA	UTPAL GOKHALE	
OTHER NON-EXECUTIVE DIRECTORS					
SITTING FEES	7.60	4.70	5.90	4.70	22.9
COMMISSION	NIL	NIL	NIL	NIL	NIL
OTHERS	NIL	NIL	NIL	NIL	NIL

C. Remuneration to Key Managerial Personnel other than MD/MANAGER/WTD

(₹ in lac)

Sr. No	Particulars of Remuneration (INR in lakhs)	Name of KMP								Total Amount	
		RAJESH DESAI		ASHA PRAKASH *		HARSHIT GARG *		NUPUR BURMAN *			
		19-20	18-19	19-20	18-19	19-20	18-19	19-20	18-19	19-20	18-19
1	<u>Gross Salary</u> (a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	52.99	51.42	19.64	15.86	0.64	-	0.80	-	74.07	67.28
	(b) Value of Perquisites u/s 17(2) Income Tax Act, 1961	-	-	-	-	-	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-	-	-	-	-	-	-
2	Stock option	-	-	-	-	-	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-	-	-	-	-	-
4	<u>Commission</u> - as a % of profit - others, specify	-	-	-	-	-	-	-	-	-	-
	<u>Others Please</u>	-	-	-	-	-	-	-	-	-	-
5	<u>Specify</u> Contribution to PF Gratuity	2.58	2.43	0.93	0.80	0.03	-	0.04	-	3.58	3.23

**During the year, Ms. Asha Prakash ceased to be the Company Secretary of the Company on account of Maternity leave and Ms. Nupur Burman was appointed as the Interim Company Secretary of the Company effective 22nd January 2020. Further, Ms. Nupur Burman tendered her resignation and Mr. Harshit Garg was appointed as the Interim Company Secretary of the Company effective 02nd March 2020 till the time Ms. Asha Prakash resumes office*

VII. Penalties / Punishment/ Compounding of Offences

Type	Section of the Companies Act	Brief Details of Penalty Punishment/Compounding Fees imposed	Authority [RD/NCLT/Court]	Appeal made, if any (give details)
NIL	NIL	NIL	NIL	NIL